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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete it Known				
Application Number	10/071,507			
Filing Date	February 7, 2002			
First Named Inventor	Michael R. Krames			
Art Unit	2822			
Examiner Name	Ida M. Soward			
Attorney Docket Number	LUM-M-9152-3D US			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
8/1/3		^{US-} 3,593,070	7-13-1971	Bruce S. Reed	
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		FORE	IGN PATENT DOCI	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY			T ⁶
W So		JP 05291621	5-11-1993	Nichia Chem Ind. Ltd.		
KK!		WO 96/09653	3-28-1996	Cree Research, Inc.		
1ZZ		EP 0 371 554 A1	6-6-1990	N.V. Philips		
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Examiner Date Signature Considered

*EXAMINER: Initial 4 reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

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d to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no pers Complete if Known Substitute for form 1449/PTO **Application Number** 10/071,507 INFORMATION DISCLOSURE **Filing Date** February 7, 2002 STATEMENT BY APPLICANT **First Named Inventor** Michael R. Krames Art Unit 2822 (Use as many sheets as necessary) **Examiner Name** Ida M. Soward Attorney Docket Number Sheet 2 2 LUM-M-9152-3D US of

	•	NON DATENT LITED ATUDE DOCUMENTS	
Examiner Initials*	Cite No. ¹	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MO		P. MAASKKANT et al., "Fabrication of GaN-based Resonant Cavity LEDs," phys. stat.sol. (submitted February 19, 2002), 14 pages.	
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Examiner		Date 2//16/6/),/
Signature		Considered /// // Considered	/

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